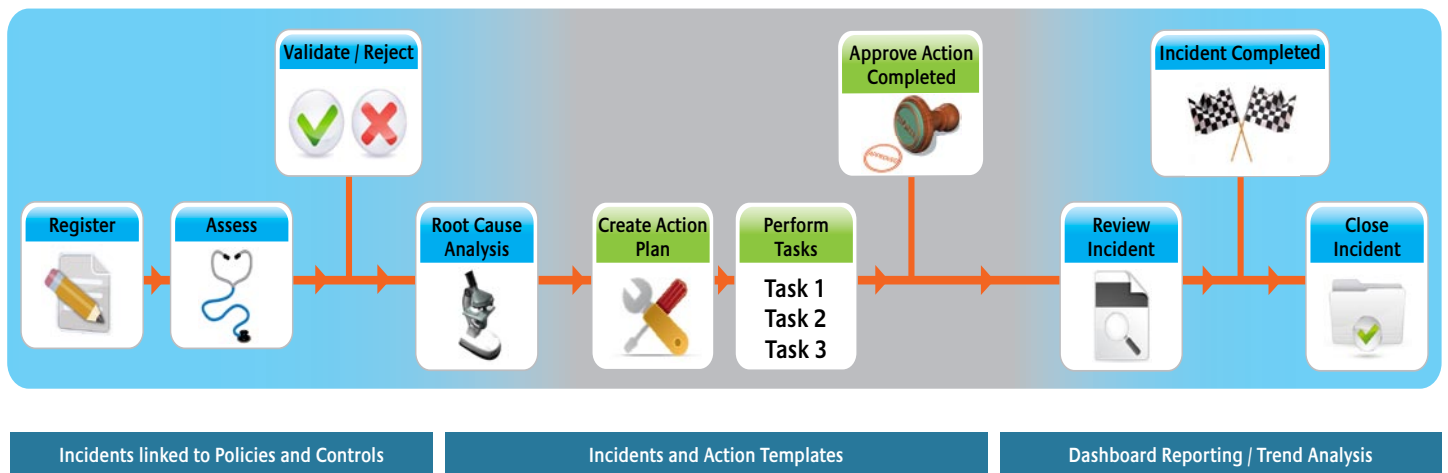


**FUNDS-AXIS GRC™** provides a single point of capture for all incident data across your organisation as well as providing extensive incident reporting capabilities. It can replace the numerous incident spreadsheets and databases currently utilised and introduces efficiencies by automating production of all related reporting. It is a robust, scalable application which includes full audit trail as well as user and role-based access rights and permissions.

Templates are configured to capture the specific information relevant to different incident types and standard workflows are also created to automate the resolution process for each incident type. This can include workflows for incident capture, validation, investigation, escalation, review and resolution, as well as identification and performance of corrective action.



**Complaints, Dealing errors, Pricing errors and Investment limit breaches** are amongst the Incident types for which templates and workflows are provided as standard.

Browser-based, the system can be deployed so that multiple parties in different locations can be involved in a corroborative manner in the incident resolution process and the handling of incidents can be delegated to subject matter experts at any place within the organisation.

**FUNDS-AXIS GRC™** enables users to view incidents by type, date, cause, location, financial impact and other user-defined criteria. Crystal Report is deeply-integrated, thereby providing extensive graphical and detailed reporting to support client, management and regulatory needs. A range of incident reporting is provided as standard.