

AN INTRODUCTION TO UCITS III FUNDS

Introductory Training

This training course is designed to introduce the key features of UCITS III. The course will be of interest to graduates and other new entrants to the funds industry as well as to private client investment managers and hedge fund managers who are considering launching a UCITS.

Contents

- **An overview of the Funds Landscape**

- **An introduction to UCITS – key features**
 - Retail funds
 - Open-ended, prudent spread of risk, passportable
 - The scope for derivatives usage and structured funds
 - UCITS IV

- **UCITS Regulation**
 - Applications
 - Constitution
 - The key players – Promoter, investment manager, Trustee, Administrators & Regulators
 - Investor relations and communications
 - Investment and borrowing powers
 - Fund Valuation
 - Unitholder Dealing
 - Other Operating duties
 - Fees

- **Other regulations / provisions**
 - Taxation
 - Marketing and distribution
 - Treating Customers Fairly
 - MiFID and Capital Requirements Directive

- **Practicalities of launching a UCITS Fund**
 - Including choice of UCITS jurisdiction

This training is also available as either half-day or full-day in-house workshop and can be tailored and focused to your requirements and to your jurisdiction (e.g. UK, Ireland or Luxembourg)