

*“Very timely and topical,  
relevant to all in the funds  
industry”*

VP, JP Morgan Trustee &  
Fiduciary Services.

*“Excellent Presentation, very  
well delivered”*

Legal Counsel, Russell

*“Excellent Presentations, very  
relevant.”*

Manager, Brown Brothers  
Harriman

*“Good course, well organised  
and a good opportunity to  
meet with other industry  
participants.”*

*“Excellent Workshop. Very  
Informative.”*

*“Engaging and very  
knowledgeable”*

### About us

Funds-Axis is focused on providing high quality training services to the Asset Management Industry. Our training clients include Regulators, Law Firms, Asset Managers, Administrators and Trustees and our training courses have been attended by more than 350 asset management professionals from over 80 organisations in the UK, Ireland and Luxembourg.

### Our Training

- Introduction to UCITS III
- Operational Risk for Asset Managers,
- Counterparty Risk Management,
- Derivatives under UCITS III
- Eligible Assets Directive
- ARROW
- Treating Customers Fairly
- UCITS IV
- CRD and ICAAP
- Fund Administration
- Introduction to Financial services

We also provide training on specific issues such as Fair Value Pricing, Shareclass Accounting, Box Management, Complaints Handling, Fund Domiciliation, Conversions and Amalgamations.

### Principal Trainers:

Our team includes former regulators as well as qualified chartered accountants, risk and compliance professionals and regulatory consultants.



Darren is a law graduate, qualified chartered accountant and Member of the Securities institute. He has over 12 years financial services and asset management experience. His expertise includes Derivatives & UCITS III, Eligible Assets Directive, UCITS IV and fund accounting.



Hannah joins Funds-Axis from the FSA where she spent 3 years in Wholesale Banks & Investment Firms Department. Hannah had supervisory responsibility for a range of asset management firms and led and assisted numerous ARROW risk assessments. Her expertise also extends to TCF, New COB and KYC.